

# Arkansas Diamond Deferred Compensation Plan Enrollment Form - New Participants Only

Complete this form if you are enrolling in the Arkansas Diamond Deferred Compensation Plan for the first time. You must complete each part of this form, and provide your written signature as authorization. If you are an existing participant and wish to make changes to your current Deferral Elections or Investment Elections, you must either call 1.800.905.1833 or log on to the plan website at <https://myplan.voya.com>.

## PARTICIPANT INFORMATION

Name _____	Social Security Number _____
Home Address _____	Date of Birth _____
City _____ State _____ Zip Code _____	Daytime Telephone Number _____
Agency Name _____	Agency Number (optional) _____

## PART 1: DEFERRAL ELECTIONS - New Enrollments Only

Your annual deferral (total of pre-tax and Roth) cannot exceed the lesser of 100% of your eligible compensation or \$19,000 for the 2019 calendar year (\$25,000 for participants who turn age 50 by December 31, 2019). Ceiling is adjusted each year per cost of living index.

- I elect to enroll in the Arkansas Diamond Deferred Compensation Plan (“Plan”) and I authorize my employer to defer the following amount each pay period. I understand that the maximum combined pre-tax and Roth after-tax deferral amount, plus catch-up contributions, cannot exceed the annual IRS maximum contribution amount. I understand that these contributions will be withheld from my paycheck and contributed to my Plan account. My election will be processed as soon as administratively possible. My contribution amount must be whole dollars or percent and a minimum of \$1.00 or 1% per pay period.

Deferral Election per pay period:

\$ or % \_\_\_\_\_ Pre-Tax Deferral Amount

\$ or % \_\_\_\_\_ Roth Deferral Amount

## PART 2: CHOOSE YOUR INVESTMENT ELECTIONS – New Enrollments Only

The Plan offers 4 ways to invest your future contributions, including payroll deductions and rollover contributions or trust-to-trust transfers from prior 457 or qualified plans (you can only select one of the 4 options available):

**Option A:** Choose among the investment options (percents must be whole and equal 100%),

**Option B:** Choose one BlackRock LifePath Target Date Fund,

**Option C:** Choose one of the Arkansas Diamond Asset Allocation Models (AAMs), or

**Option D:** Choose to invest in a Hybrid Asset Allocation Model (HAAM).

Note: If you have a current balance in the AR Diamond Deferred Compensation Plan and want to change your investment elections or change how your current balance is invested, you must either call 1.800.905.1833 or log on to the plan website at <https://myplan.voya.com>. Completing this form will not change your investments.

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### Option A:

- I elect to invest among the investment options. I understand that I must use whole percentages when selecting among this list of funds and I understand my total amount must equal 100%.

Fund Name	Fund ID	Fund Name	Ticker Symbol	Election %
<b>Fixed Accounts</b>	N1	Nationwide Fixed Account		%
	V1	Fixed Account/VALIC		%
	DA	Interest Guarantee/Diversified		%
<b>Actively Managed Mutual Funds- Cash Equivalents</b>	Z2	BlackRock Treasury Trust Fund		%
	Z1	Federated Government Obligations Tax-Managed Fund	GOAXX	%
<b>Actively Managed Mutual Fund- Bonds</b>	45	BlackRock Low Duration Fund	CLDBX	%
	G1	Baird Core Plus I	BCOIX	%
	05	Loomis Sayles Global Bond I	LSGBX	%
	Z3	Lord Abbett High Yield	LHYSX	%
<b>Actively Managed Mutual Funds- Equity</b>	D1	Dodge and Cox Stock Fund	DODGX	%
	CM	JP Morgan Mid Cap Value	FLMVX	%
	85	T Rowe Price Small Cap Value	PRVIX	%
<b>Actively Managed Mutual Funds- Equity Growth</b>	CN	Fidelity Advisor New Insights**	FINSX	%
	CP	Janus Henderson Enterprise Fund I	JMGRX	%
	CJ	Oppenheimer Discovery	ODIYX	%
<b>Actively Managed Mutual Funds- International Equity</b>	Z4	Ivy International Core Equity I	ICEIX	%
	CF	Oppenheimer Developing Markets Y	ODVYX	%
<b>Index Fund Series- Bonds</b>	VJ	Vanguard Total Bond Market Index Fund	VBTIX	%
<b>Index Fund Series- Equity</b>	VH	Vanguard Institutional Index Fund	VINIX	%
<b>Target Date Fund Series</b>	75	BlackRock LifePath Index Retirement	LIRKX	%
	76	BlackRock LifePath Index 2020	LIMKX	%
	77	BlackRock LifePath Index 2025	LIBKX	%

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<b>Target Date Fund Series Continued from page 2:</b>	78	BlackRock LifePath Index 2030	LINKX	%
	79	BlackRock LifePath Index 2035	LIJKX	%
	80	BlackRock LifePath Index 2040	LIKKX	%
	81	BlackRock LifePath Index 2045	LIHKX	%
	82	BlackRock LifePath Index 2050	LIPKX	%
	83	BlackRock LifePath Index 2055	LIVKX	%
	84	BlackRock LifePath Index 2060	LIZKX	%
			Total	%

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**Option B:**

- I elect one of the ten BlackRock LifePath Target Date funds.

<b>Fund ID</b>	<b>Fund Name</b>	<b>Ticker Symbol</b>	<b>Select one:</b>
75	BlackRock LifePath Index Retirement	LIRKX	
76	BlackRock LifePath Index 2020	LIMKX	
77	BlackRock LifePath Index 2025	LIBKX	
78	BlackRock LifePath Index 2030	LINKX	
79	BlackRock LifePath Index 2035	LJKX	
80	BlackRock LifePath Index 2040	LIKX	
81	BlackRock LifePath Index 2045	LIHKX	
82	BlackRock LifePath Index 2050	LIPKX	
83	BlackRock LifePath Index 2055	LIVKX	
84	BlackRock LifePath Index 2060	LIZKX	

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**Option C:**

- I elect one of the five Arkansas Diamond Asset Allocation Models (AAMs).

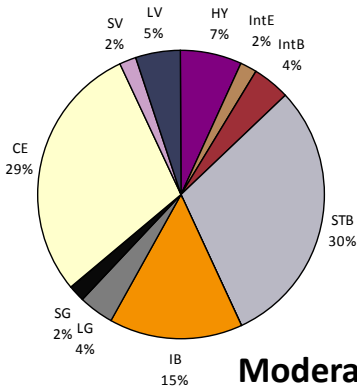
**Important Notes and Acknowledgements (check each box to confirm and accept):**

- The Asset Allocation Models (AAMs) contain a re-balancing feature that occurs automatically on a quarterly basis on the last business day of every quarter. .
- By selecting an Asset Allocation Model (AAM), the balance that is invested in the Asset Allocation Model (AAM) will be subject to an additional fee of 25 basis points (0.25%) annually.** This fee is applied to balances in an Asset Allocation Model (AAM) on the last business day of the quarter.
- Future contributions and existing balances will be directed according to the election selected below.
- To transfer out of an Asset Allocation Model (AAM), which includes canceling the re-balancing and the additional fee of 25 basis points, you must contact a Customer Service Representative at 1-800-905-1833.

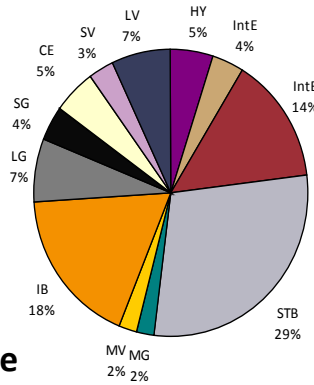
**Lifestyle Asset Allocation Model Election (AAM) (Please select only one model option):**

- Conservative AAM
- Conservative Moderate AAM
- Moderate AAM
- Moderate Aggressive AAM
- Aggressive AAM

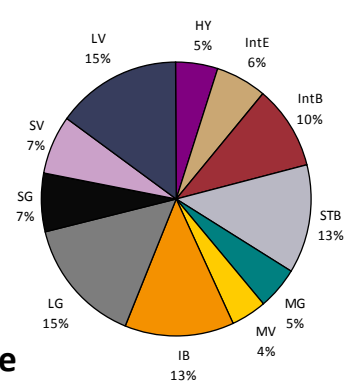
**Conservative**



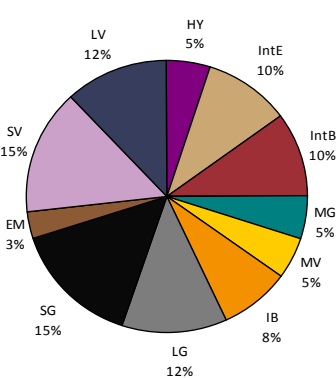
**Conservative Moderate**



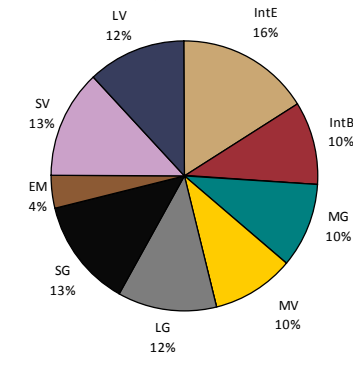
**Moderate**



**Moderate Aggressive**



**Aggressive**



- |   |  |   |
|---|--|---|
| <ul style="list-style-type: none"> <li><span style="color: yellow;">●</span> Cash &amp; Cash Equivalents (CE) &lt; Federated Gov Oblg Tx Mngd Atmtd&gt; 1 Y 3 Month T-Bill + Money Market ®</li> <li><span style="color: grey;">●</span> Short-Term Bond (STB) &lt; BlackRock Low Duration K ®&gt; Merrill Lynch 1-3 Year Treasury Index + Short-Term Bond ®</li> <li><span style="color: orange;">●</span> Intermediate Bond (IB) &lt; Baird Core Plus Inst ®&gt; Barclays US Universal Bond Index + Intermediate Bond ®</li> <li><span style="color: red;">●</span> International Bond (IntB) &lt; Loomis Sayles Global Bond I ®&gt; Barclays Global Aggregate Unhgd Index+ World Bond ®</li> </ul> | <ul style="list-style-type: none"> <li><span style="color: purple;">●</span> High Yield Bond (HY) &lt; Lord Abbett High Yield R4 ®&gt; S&amp;P 500 + Large Value ®</li> <li><span style="color: blue;">●</span> Equity Large-Cap Value (LV) &lt; Dodge &amp; Cox Stock Fund &gt; S&amp;P 500 + Large Value ®</li> <li><span style="color: yellow;">●</span> Equity Mid-Cap Value (MV) &lt; JP Morgan Mid Cap Value L &gt; Russell Mid-Cap Value Index + Mid-Cap Value ®</li> <li><span style="color: lightblue;">●</span> Equity Small-Cap Value (SV) &lt; Fidelity Advisor Small Cap Value &gt; Russell 2000 Value + Small Value ®</li> </ul> | <ul style="list-style-type: none"> <li><span style="color: grey;">●</span> Equity Large-Cap Growth (LG) &lt; Fidelity Advisor New Insights I &gt; S&amp;P 500 + Large Growth ®</li> <li><span style="color: green;">●</span> Equity Mid-Cap Growth (MG) &lt; Janus Henderson Enterprise Fund I &gt; Russell 3000 Growth+ Mid-Cap Growth ®</li> <li><span style="color: black;">●</span> Equity Small-Cap Growth (SG) &lt; Oppenheimer Discovery Fund Y &gt; Russell 2000 Growth + Small Growth ®</li> <li><span style="color: brown;">●</span> Equity International (IntE) &lt; Ivy International Core Equity I &gt; MSCI EAFE Index + Foreign Large Blend ®</li> <li><span style="color: darkred;">●</span> Equity Emerging Markets (EM) &lt; Oppenheimer Developing Markets Y &gt; MSCI EMF Index + Emerging Markets ®</li> </ul> |
|---|--|---|

**Key**  
 <Asset Class  
 >Actively Managed Fund  
 +Prospectus Benchmark  
 ®Morningstar Category

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**Option D:**

- I elect to invest in a Arkansas Diamond Hybrid Asset Allocation Model (HAAM).

**Important Notes and Acknowledgements (check each box to confirm and accept):**

- When selecting a Hybrid Asset Allocation Model (HAAM), please choose only ONE of the 3 Fixed Rate Options and only ONE of the 5 Lifestyle Asset Allocation Models (AAMs). When selecting a fixed rate option (ONE MODEL and FIXED RATE OPTION), you will need to make this election in 10% increments and only one fixed rate option may be selected. Please note, when choosing a Hybrid Asset Allocation Model (HAAM), the total between the Fixed Rate Option and Lifestyle AAM must equal 100%. Future contributions will be directed according to your Hybrid Asset Allocation Model (HAAM) election and all existing balances will be redirected to your selected Hybrid Asset Allocation Model (HAAM) at the end of the quarter in which your Hybrid Asset Allocation Model (HAAM) is processed.
- The Hybrid Asset Allocation Models (HAAMs) contain a re-balancing feature that occurs automatically on a quarterly basis on the last business day of every quarter. Re-balancing does include any monies in the Fixed Rate Option.
- By selecting a Hybrid Asset Allocation Model (HAAM), the balance that is invested in the Hybrid Asset Allocation Model will be subject to an additional fee of 25 basis points (0.25%) annually.** With a Hybrid Asset Allocation Model (HAAM), your entire balance will be subject to the annual additional fee. This fee is applied to balances in a Hybrid Asset Allocation Model (HAAM) on the last business day of the quarter.
- Future contributions and existing balances will be directed according to the election selected below.
- To transfer out of a Hybrid Asset Allocation Model (HAAM), which includes canceling the re-balancing and the additional fee of 25 basis points, you must contact a Customer Service Representative at 1-800-905-1833.
- To make changes to your Hybrid Asset Allocation Model (HAAM), which includes changing fixed rate options and Asset Allocation Models (AAM), you must contact the local office to obtain and fill out an Arkansas Diamond Asset Allocation Model Selection and Disclosure Form – Hybrid Models.
- The Field Representative provided the corresponding fund and percentage breakdown chart and I understand how my account will be setup according to this chart and my election above.

**Step 1: Please indicate the percentage you want invested in one of the Asset Allocation Models (AAMs) and the percentage you want invested in the Fixed Account Option (must use whole percentages):**

\_\_\_\_\_ % AAM Model    \_\_\_\_\_ % Fixed Account Option

**Step 2: Select one of the Asset Allocation Models (AAMs) by checking one box only:**

- Conservative AAM
- Conservative Moderate AAM
- Moderate AAM
- Moderate Aggressive AAM
- Aggressive AAM

**Step 3: Select one of the Fixed Account Options by checking one box only:**

- Nationwide Fixed Account
- VALIC Fixed Account
- Diversified Interest Guarantee

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## PART 3: AUTHORIZATION

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I have completed, understand and agree to all pages of this Arkansas Diamond Deferred Compensation Plan (“Plan”) Enrollment Form. I understand this form is to be completed for new enrollments only. I authorize my employer to deduct the amount set forth each pay period to the Plan, and I authorize the Plan to invest my new account contributions and future rollover or trust-to-trust transfers according to the option I have selected. This agreement will continue until further notice by me, as set forth in the Plan.

**Failure to select Option A, Option B, Option C, Option D elections that do not equal 100% or not making whole percentage elections under Option A, will result in a 100% investment into the Plan’s default fund, the BlackRock LifePath Fund most closely associated with your projected retirement date.**

\_\_\_\_\_  
Signature

\_\_\_\_\_  
Date